

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of Steinberg

Application No. 09/835,106

Attorney Docket No. Shipley 02-12; DN 51922 (ACT - 126/127)

Filed: April 13, 2001

For: OPTICAL WAVEGUIDE SWITCH

Examiner: Michael C. Zarroli

Group Art Unit: 2839

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this Response and accompanying papers are being deposited on <u>October 23, 2003</u> with the United States Postal Service as first-class mail in an envelope properly addressed to COMMISSIONER OF PATENTS, Alexandria, VA 22313-1450.

October 23, 2003

Date of Certificate

Supplemental Statement Under 37 CFR 3.73(b)

Please find submitted herewith a supplemental Statement Under 3.73(b) which more particularly sets forth the chain of title to the Assignee of the entire right, title, and interest.

Respectfully submitted,

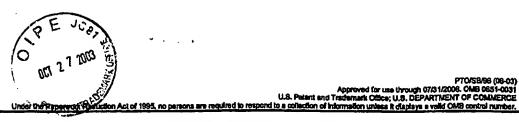
DANN, DORFMAN, HERRELL & SKILLMAN A Professional Corporation Attorneys for Applicant(s)

Miels Haun

PTO Registration No. 48,488

Telephone: (215) 563-4100 Facsimile: (215) 563-4044





STATEMENT UNDER 37 CFR 3.73(b)	
Applicant/Patent Owner: Shipley Company.]	L.L.C
Application No./Patent No.: 09/835,106	Filed/Issue Date: April 13, 2001
Entitled: OPTICAL WAVEGITDE SWITCH	
Shipley Company, I.I.C. (Name of Assignoe)	(Type of Assignoe, e.g., corporation, partnership, university, government agency, etc.)
states that it is: 1. 🔁 the assignee of the entire right, title, and interest	; ar
 an assignee of less than the entire right, title and The extent (by percentage) of its ownership inter in the patent application/patent identified above by virtu 	rest is%
A. [] An assignment from the inventor(s) of the paten in the United States Patent and Trademark Office attached.	t application/patent identified above. The assignment was recorded at Real, or for which a copy thereof is
OR	
below:	application/patent identified above, to the current assignee as shown
1. From: Inventor(s)	
The document was recorded in the United Real 013174 Frame 0328	d States Patent and Trademark Office at or for which a copy thereof is attached.
2 from Haleos, Inc.	To: Shipley Company, L.L.C. I States Patent and Trademark Office at
The document was recorded in the United	States Patent and Trademark Office at
	or for which a copy thereof is attached.
3. From:	To:
The document was recorded in the United	d States Patent and Trademark Office at, or for which a copy thereof is attached.
rane	or for which a copy thereor is allached.
[] Additional documents in the chain of title	are listed on a supplemental sheet.
	nent document or a true copy of the original document) rdance with 37 CFR Part 3, if the assignment is to be
The undersigned (whose title is supplied below) is auti	norized to act on behalf of the assignee.
Detober 21, 2003	Darryl P. Frickey
Date	Typed or printed name
(508) 229- 7359	D-17.74
Telephone number	Signature
•	Assistant Secretary and Director
	Title of Potents

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a banefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, praparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burdon, should be sent to the Crief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.